



Budget Formulation Kickoff – Tribal Priority Ranking Tool

October 17, 2024



Agenda

- ❖ **Key Documents**
- ❖ **Budget Formulation Timeline**
- ❖ **2027 Budget Schedule**
- ❖ **FY 2027 Tribal Priority Ranking Tool**
- ❖ **Roles and Responsibilities**
 - Tribal Budget Formulation at the Regions
- ❖ **Demo**

Key Documents



➤ *Budget Formulation Guidance*

- Attachment A - Budget Formulation Timeline
- Attachment B - Tribal Preferred Program Ranking Tool
- Attachment C - Final Consolidated Tribal Preferred Program Ranking Tool by Region
- Attachment D - IA CO Budget Priority Template (UNDER CONSTRUCTION)
- Attachment E - IA Regional Office Budget Priority Template (UNDER CONSTRUCTION)

➤ <https://www.bia.gov/as-ia/obpm/budget-formulation-guides>

Budget Formulation Timeline

BUDGET FORMULATION TIMELINE

Attachment A

| | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep |
|---------|--|---|--|---|--|--|-----|--|--|---|--|---|
| | CY 2024 | | | CY 2025 | | | | | | | | |
| FY 2025 | OBPM distributes Guidance and Ranking Tool | Regions hold the first of 2 Tribal Budget Meetings - 1st meeting is to train on Ranking tool and formulation guidance | Tribes submit ranking tool to their regional budget staff. | | Regions submit Final Tribal Rankings and Regional Federal Request to Office of Budget and Performance Mgmt (OBPM). OBPM provides Regional rankings to Central Office (CO) programs | National rollup and regional rankings provided to TIBC for deliberation; TIBC body determines budget strategy; Budget Subcommittee prepares tribal budget request and clears with full TIBC body | | ASIA deliberates with Senior leaders and prepares IA budget request; ASIA submits IA budget request to DOI | DOI has briefings with ASIA; DOI marks up and provides passback; | IA appeals passback, receives final numbers; OMB submission is prepared according to guidance | DOI reviews, edits, finalizes OMB submission | DOI submits budget request to OMB; OMB has briefings with all CO programs |
| | | | Regions hold 2nd Tribal Budget Meeting to finalize regional ranking upon submission by all tribes or after the January cut off date. | | CO programs provide budget requests to OBPM and OBPM holds CO program briefings | Subcommittee presents final tribal budget to ASIA | | | | | | OBPM prepares Guidance for new formulation year in October. |
| | Tribes Meet w/OMB | | | Tribes Meet w/Congressional Reps | | Continue Meetings w/Congressional Reps | | | | | OBPM works with programs to update Overview/Program Narratives - thru Nov. | |
| FY 2026 | CY 2025 | | | CY 2026 | | | | | | | | |
| | OMB/DOI/IA negotiate on President's Budget; OMB marks-ups and provides passback; IA appeals; IA Finalizes President's Budget Request (Greenbook) | | | | President Budget Request (Greenbook) is submitted to Congress | Congr. Briefings to staff & Congr. Hearings; Effect and Capability Statements | | Congressional Mark-Up of Budget | | | | |
| | | POB and OBPM review, markup and finalize Overviews/ Narratives | OBPM works with programs to obtain results data and estimated performance | OBPM & POB upload full package to MAX for final OMB review and clearance. | | | | | | | | |
| FY 2027 | CY 2026 | | | CY 2027 | | | | | | | | |
| | Enacted budget received, or Continuing Resolutions if no enacted budget received | | | | | | | | | | | |
| | Execute FY 2025 budget | | | | | | | | | | | |
| | Tribes can provide input into the Budget and the Greenbook | | | | | | | | | | | |
| | Tribes should meet with White House and Congressional Staff | | | | | | | | | | | |

FY 2027 Budget Schedule

| | |
|-----------------------|--|
| OCT 17, 2024 | IA Office of Budget and Performance Management (OBPM) releases budget formulation guidance, including the Tribal Preferred Program Ranking Tool, through a virtual kickoff meeting . |
| OCT 2024 – JAN 2025 | IA Regional Budget Staff releases budget formulation guidance, including the ranking Tool, to Tribes in their respective regions. IA Regional Offices hold a minimum of two (2) Tribal budget formulation meetings/work sessions , one of which is to obtain consensus on final rankings. Tribal submission due dates are determined by IA Regional Offices but should be no later than Feb 3, 2025. |
| FEB 10, 2025 | IA Regional Offices ensure that all Tribal ranking Tool submissions (Attachment B) are completed and turned into OBPM, including the Final Consolidated Tribal Ranking by Region. (Attachment C) by Feb 10, 2025. |
| FEB 10 – MAR 10, 2025 | OBPM prepares the National Tribal Priority Rankings. |
| MAR 10–14, 2025 | TIBC Spring Meeting. Results of the National and Regional Tribal Priority Ranking are presented to the full TIBC body by OBPM. The TIBC body deliberates budget priorities and determines budget strategy for FY 2027. |
| TBD | TIBC Budget Subcommittee will hold a 2-day work session following the TIBC meeting to develop Tribal budget submission. |
| TBD | TIBC Budget Subcommittee presents proposed budget and draft testimony to full TIBC Committee for approval through a virtual meeting. |
| TBD | TIBC Tribal Chairs briefs the Assistant Secretary – Indian Affairs on the approved Tribal budget and testimony. |

FY 2027 Budget Schedule (tentative)

| | |
|---------------|--|
| | |
| MAR 7, 2025 | IA Regional Offices submit their 2027 budget requirements and priorities to IA Field Operations. |
| MAR 21, 2025 | IA Field Operations submit their consolidated 2027 IA Regional Office Priorities. Priorities must be ranked. The consolidated 2027 IA Regional Office Priorities are shared with Central Office (CO) program staff for use in formulating their budgets. |
| APR 2025 | CO program staff submits and briefs their 2027 budget requirements and priorities to OBPM. |
| APR–MAY 2025 | OBPM prepares FY 2027 Budget Deliberation Information Briefing to include all Tribal, regional, and CO submissions. |
| APR–MAY 2025 | IA budget deliberations with Senior Management. OBPM develops IA budget proposal. |
| JUN 2025 | FY 2027 IA Budget Proposal due to Department of Interior Budget Office. |
| JUL-AUG 2025 | DOI final decisions (DOI Passback) released. OBPM develops 2027 IA Budget Request to OMB. |
| AUG 4–8, 2025 | TIBC Summer Meeting. |
| SEP 2025 | FY 2027 IA Budget Request due to OMB. |
| OCT 2025 | FY 2028 Budget Formulation begins. |
| DEC 2025 | FY 2027 OMB Passback. |
| FEB 2026 | FY 2027 IA Greenbook goes to print. |

Tribal Priority Ranking Tool



- *Provides a forum for American Indian and Alaska Native Tribes to submit recommendations for Tribal initiatives, policies, and budget recommendations.*
- *Ensures Tribes are able to provide meaningful input into the IA budget request by prioritizing program preferences.*
- *Biennial process – priority rankings will be used for 2027 and 2028 budget requests - but Tribes may submit an updated Tool each year.*
- *National and Regional Tribal Priority Ranking Results presented at TIBC Spring Meeting and used to develop Tribal Budget Request. Also used by IA during budget deliberations so senior leadership can make informed decisions.*

Tribal Priority Ranking Tool



➤ *IA programs (budget lines on Comp Table) are organized within eight (8) strategic funding categories*

- 1) Strengthening Tribal Communities
- 2) Trust Natural Resources Management
- 3) Trust Land & Water Rights Management
- 4) Public Safety and Justice
- 5) Economic Development
- 6) Education (Note: Schools should only rank this category)
- 7) Construction
- 8) Resource Management Construction.

Tribal Priority Ranking Tool

Trust - Natural Resources Management

| Program | Priority (1-14) |
|--|-----------------|
| Natural Resources (TPA) Supports the strategy of improving the management, protection, and development of Indian land and natural resource assets by allowing Tribes to manage their own natural resources and compliance with various regulations and requirements. | Not Ranked |

Irrigation
Ensures prudent rehabilitation or legal direction.

Rights Pr
Supports the individual Tribes in the United States in particular res

Tribal M:
Individual Tribes determine their own programs on:

Endanger
This program (ESA), Title 9 of conditions required to cc

Tribal C/I
Supports Tribes landscape-level needs (vulnerability identification address risks

Agricultu
Under the authority Determination funds dedicated agriculture pr

Invasive S
On-the-ground implementation through the direct eradication other pro

Forestry
Undertakes fire resources in a management.

Forestry
Focuses on the for the protect

EDUCATION -- Schools and TCUs should only complete this category

| Program | Priority (1-19) |
|--|-----------------|
| BIE ISEP Formula Funds ISEP formula funds represent the primary school year funding source for school instructional and operational needs supporting residently-administrative incentive Technol | Not Ranked |

Strengthening Tribal Communities

| Program | Priority (1-9) |
|--|--|
| Aid to Tribal Government (TPA) Provides assistance to federally recognized Tribes to achieve and maintain stable government program and operational services, helping Tribes ensure adherence and compliance with their own governing documents. The program assists Tribal government systems by providing baseline funding for Tribal government programs and operational services to this effect. | Not Ranked 1 2 3 4 5 6 7 8 |
| New Tribes (TPA) Helps foster strong and stable Tribal governments by providing a stable base of funding. This line item provides TPA base funding for a newly recognized Tribe to establish and carry out the day-to-day responsibilities of a Tribal government. Once a Tribe has been acknowledged as a federally recognized Tribe, its designated funding remains in the New Tribes program line for three fiscal years at which time the funding is then base transferred for the Tribe to the Aid to Tribal Government (ATG) line item. | |
| Small Tribes Supplement (TPA) In 1993, the Small Tribes Initiative was created to support P.L. 93-638 by fostering a stable Tribal governments' ability to exercise their authority as sovereign nations. The purpose of the initiative was to provide small Tribes with a minimum TPA base funding by which they could run viable Tribal governments. | Not Ranked |
| Social Services (TPA) Under the umbrella of Social Services, Tribes operate a multitude of programs, including Financial Assistance and Social Services, Child Protection Services and Child Welfare (CPS/CW), Adult Protection (APS), supervised IIM Services, and Family and Domestic Violence. These funds provide support for front line responders handling the child and domestic violence in Indian Country. This program also supports the TAP/Kiosk project - a fingerprint-based check of national crime databases and abuse/neglect registry. | Not Ranked |
| Welfare Assistance (TPA) This program provides welfare assistance to American Indians and Alaska Natives who have no access to Temporary Assistance to Needy Families (TANF), who do not meet eligibility criteria for TANF, or have exceeded the lifetime limit for TANF services. The Welfare Assistance programs are designed to be secondary. Welfare Assistance has traditionally played a large role in the ability of Tribes to take care of their citizens and supports Tribal self-determination and self-governance. | Not Ranked |
| Indian Child Welfare Act (TPA) This program prevents the separation of Indian families and also provides assistance for the reunification of families. Tribal ICWA directors have become central contact points for Tribes and Indian families in seeking assistance for temporary and permanent placement of Indian children. The work of ICWA staff has resulted in improved coordination and compliance with the Indian Child Welfare Act, thereby recognizing the Tribal authority over Indian children in need of permanent placement. | Not Ranked |
| Housing Program (TPA) Serves as a safety net program, targeting those neediest individual Indians residing within approved service areas who cannot meet income requirements set forth by Tribes administering Department of Housing and Urban Development (HUD) housing programs. This program improves the quality of life of Indians qualified to participate in the program by eliminating substandard housing and homelessness on or near federally recognized reservation communities. | Not Ranked |
| Road Maintenance (TPA) Provides the primary source of funds for maintenance of all IA roads and bridges constructed with Highway Trust Fund (HTF) resources under the Federal Highways Administration Indian Reservation Roads (IRR) program in Indian Country. Roads Maintenance program funding supports roads that serve BIE schools in Indian Country. Annually, BIE | Not Ranked |

- ❖ Within each category, Tribes are not required to rank all program lines.
- ❖ They can rank one line, all lines within the category, or any portion of the lines that apply to their Tribe.
- ❖ Additionally, they are not required to rank all categories, only those categories that are applicable to their Tribe.

CATEGORY RANKING

| Category | Priority (1-8) |
|--------------------------------------|--------------------------------------|
| Strengthening Tribal Communities | Not Ranked |
| Trust Natural Resources Management | Not Ranked |
| Trust Land & Water Rights Management | Not Ranked |
| Public Safety and Justice | Not Ranked |
| Economic Development | Not Ranked |
| Education | Not Ranked |
| Construction | Not Ranked |
| Resource Management Construction | Not Ranked |
| | 1 2 3 4 5 6 7 8 |

Tribal Priority Ranking Tool

- ❖ The information gathered from the Tribes using this Tool will be weighted to determine which programs in each category rank highest.
- ❖ Information will be weighted and totaled by category, first by Tribe, then consolidated to the regional level, and then on a national scale.

| Priority # | Strengthening Tribal Communities | Trust Natural Resources Management | Trust Land & Water Management | Public Safety and Justice | Economic Development | Education | Construction | Resource Management Construction |
|-------------|----------------------------------|------------------------------------|-------------------------------|---------------------------|----------------------|-----------|--------------|----------------------------------|
| Priority 1 | 9 Points | 14 Points | 18 Points | 10 Points | 7 Points | 19 Points | 15 Points | 7 Points |
| Priority 2 | 8 Points | 13 Points | 17 Points | 9 Points | 6 Points | 18 Points | 14 Points | 6 Points |
| Priority 3 | 7 Points | 12 Points | 16 Points | 8 Points | 5 Points | 17 Points | 13 Points | 5 Points |
| Priority 4 | 6 Points | 11 Points | 15 Points | 7 Points | 4 Points | 16 Points | 12 Points | 4 Points |
| Priority 5 | 5 Points | 10 Points | 14 Points | 6 Points | 3 Points | 15 Points | 11 Points | 3 Points |
| Priority 6 | 4 Points | 9 Points | 13 Points | 5 Points | 2 Points | 14 Points | 10 Points | 2 Points |
| Priority 7 | 3 Points | 8 Points | 12 Points | 4 Points | 1 Points | 13 Points | 9 Points | 1 Points |
| Priority 8 | 2 Points | 7 Points | 11 Points | 3 Points | | 12 Points | 8 Points | |
| Priority 9 | 1 Points | 6 Points | 10 Points | 2 Points | | 11 Points | 7 Points | |
| Priority 10 | | 5 Points | 9 Points | 1 Points | | 10 Points | 6 Points | |
| Priority 11 | | 4 Points | 8 Points | | | 9 Points | 5 Points | |
| Priority 12 | | 3 Points | 7 Points | | | 8 Points | 4 Points | |
| Priority 13 | | 2 Points | 6 Points | | | 7 Points | 3 Points | |
| Priority 14 | | 1 Points | 5 Points | | | 6 Points | 2 Points | |
| Priority 15 | | 1 Points | 4 Points | | | 5 Points | 1 Points | |
| Priority 16 | | | 3 Points | | | 4 Points | | |
| Priority 17 | | | 2 Points | | | 3 Points | | |
| Priority 18 | | | 1 Points | | | 2 Points | | |
| Priority 19 | | | | | | 1 Points | | 10 |

Key Roles and Responsibilities



- **IA Central Office Budget Staff** – Provides budget formulation guidance and support, prepares IA budget request; prepares National Tribal Priority Ranking
- **IA Central Office Programs** – Identifies Central Office priorities and justifies budget needs, while considering Tribal and Regional Office priorities
- **IA Regional Directors and Regional Budget Staff** – Provides budget formulation guidance, training, and support to Tribes, releases Tool to Tribes, monitors submissions, prepares regional rollup of Tribal priorities; identifies Regional Office budget requirements
- **Tribal Representative by Region** – Attends regional Tribal budget formulation sessions and represents Tribes in their Region during the TIBC Spring Meeting.
- **TIBC Budget Subcommittee** – Discusses budget strategy and process improvements, develops Tribal Budget Request

Tribal Budget Formulation Sessions



➤ *Each IA Regional Office will provide:*

- ❖ ***Two (2) budget formulation meetings with Tribes***
 1. *The first meeting is a training session on the Tribal Preferred Program Ranking Tool and the Federal Budget Process.*
 2. *The second meeting is a review and consensus on the consolidated regional Tribal ranking, including the categories.*

- ❖ ***The ranking **Tool** to all Tribes within their region, including OSG Tribes, and the BIE POC***

- ❖ ***Guidance on the overall budget formulation process.***

Finalizing Tribal Ranking Submissions by Region



➤ ***To complete regional Tribal ranking submissions, each IA Regional Office will:***

- *Consolidate and weight all Tribal Preferred Program Ranking Tool information submitted by Tribes in their region. (See weighting instructions in the guidance document.)*
- *Meet with Tribes to discuss their overall regional Tribal ranking. Agree upon any changes or recommendations proposed to their final ranking.*
- *Provide Central Office, OBPM with a copy of their final regional Tribal ranking, including category rankings, using Attachment C – Final Consolidated Tribal Preferred Program Ranking Tool by Region.*
- *During the Spring TIBC Meeting, regional representatives should be present to address any issues related to their regional rankings.*

Tribal Budget Formulation Sessions - BIE



- *The BIE Budget Officer and their budget formulation staff will*
 - *Coordinate with IA Regional Budget Offices to confirm the dates of initial and follow up budget formulation meetings with Tribes.*
 - *Coordinate with BIE ADDs and EPAs to designate POCs for each region.*

- *The designated BIE budget formulation staff and POC's will attend each IA Regional Office's budget formulation meetings with the Tribes.*

- *Then the **BIE budget formulation staff will meet (can be done virtually) with all schools in the regional area to provide a demonstration and distribution of the ranking Tool and guidance, outlining that the schools need to complete only the Education and Construction Category rankings.***

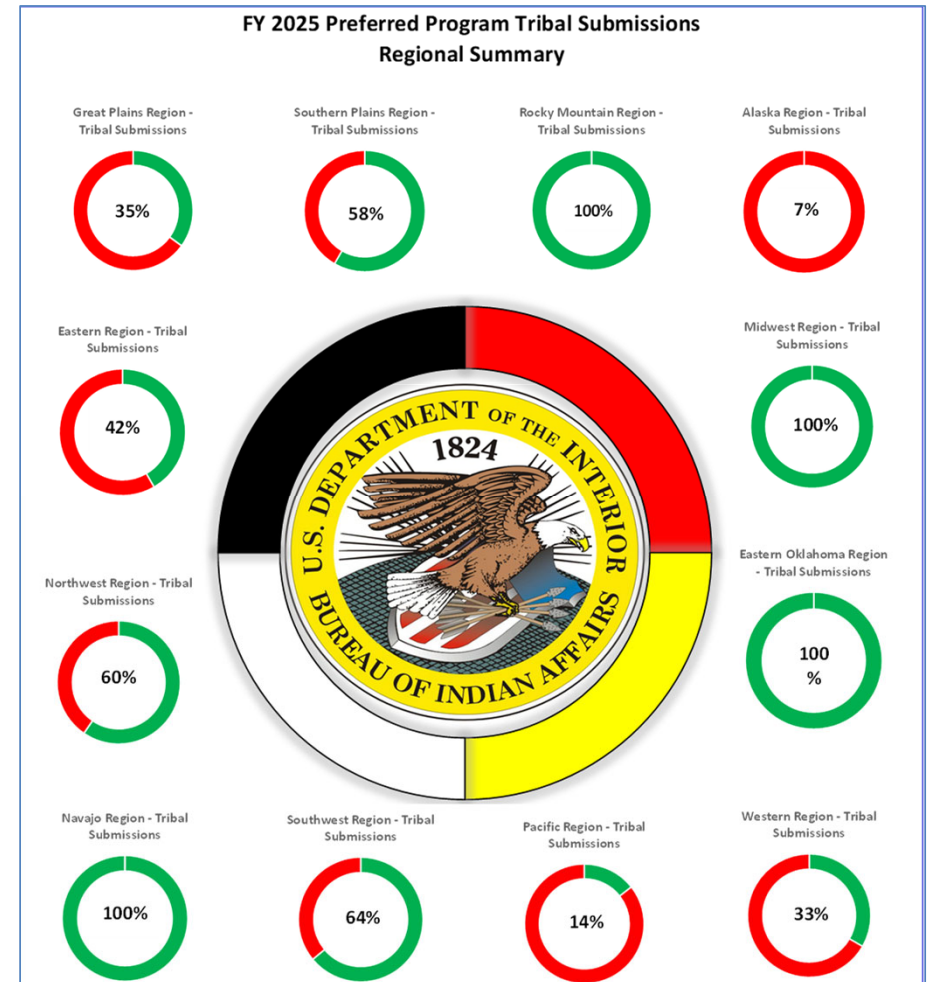
Tribal Budget Formulation Sessions - BIE



- *The BIE POC will monitor ranking Tool completion rates, reach out to those schools that have not completed the ranking Tool, and offer technical assistance or other assistance to obtain and complete their submission.*
- *The BIE budget formulation staff will consolidate overall weightings for each line item within the Education and Construction Categories for all of their schools using the weighting factors included in this guidance.*
- *Once consolidated, the BIE budget formulation staff will send that weighting to each IA Regional Office for inclusion in their overall weighting process. The BIE budget formulation staff and POC should attend the second budget formulation session with each region, review the consolidated ranking, and develop a unified regional ranking for the Education and Construction Categories.*
- ***During rollout of the National Ranking, OBPM and BIE budget staff will present the Education and Education Construction rankings from schools as well as Tribes both separately and combined.***

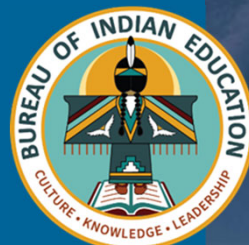
Encourage Participation

- Review FY25 participation rate
- Conduct outreach to Tribes that did not participate last time
- Provide training on the tool, monitor submissions, send out reminders
- Emphasize rankings are used to inform IA leadership when making budget decisions
- Provide examples of positive outcomes
 - Increased funding to Tribal Courts O&M, Tiwahe





TRIBAL PREFERRED PROGRAM RANKING TOOL DEMONSTRATION



THANK
YOU!